



26th January, 2015

Mr. Syed Hashim Raza Zaidi,
Managing Director,
Karachi Water & Sewerage Board,
Karachi.

Sub: Assessment of Compliance to SPPRA Rule by KWSB in its, S-III Project, Two Contracts of Treatment Plan No. 1 at Site Area, Karachi and Treatment Plan No. 3 at Maripur, Karachi.

Dear Sir,

In order to carry out the compliance of SPPRA Rule by KWSB in the process of award of the above two Contracts, Transparency International Pakistan vide its letter 19/12/2014, had requested KWSB some information/ documents.

The information/ documents furnished by Project Director (S- III) vide its letter No. PD/S-III/ KW&SB/2014/ 437 dated 19th January, 2015, comprises of only few information/ documents on the Treatment Plant No. 3, and nothing on Treatment Plant No. 1.

The following documents are required immediately, for Treatment Plant No. 3 and Treatment Plant No. 1;

Treatment Plant No. 3:-

1. Approved PC-I, (by ECNEC), with estimated value of Rs 6.911 billion.
2. Contract Agreement.
3. Contract Evaluation form sent to NAB under NAB Ordinance 1999, Section 33 B (Annex A).

Treatment Plant No. 1:-

1. Evaluation report Technical as well as Financial.
2. Approved PC-I, (by ECNEC), with estimated value of project.
3. Contract Agreement.
4. Contract Evaluation form sent to NAB under NAB Ordinance 1999, Section 33 B (Annex A).

You are requested to kindly provide above information within a week's time.

Transparency International Pakistan is striving for across the board application of Rule of Law, which is the only way to stop corruption.

With Regards,

Saad Rashid,
Executive Director

CC:

1. Minister, Information, Archives, Local Government, Government of Sindh, Karachi
2. PS to Secretary Local Government Department.



NATIONAL ACCOUNTABILITY BUREAU
Awareness and Prevention Division

Checklist Under Section 33-B of NAO

(Mandatory to be filled for projects 50 M and above for submission to A&P Division NAB HQ Islamabad).

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1. Name of the Organization / Department _____
 2. Federal / Provincial Government / Other _____
 3. Title of Contract / Bid _____
 4. Tender Number / Bid Number _____
 5. Brief Description of Contract / Bid _____
 6. Tender Estimated Value _____
 7. Estimated Completion Period _____
 8. Tender / Bid Opening (Date and Time) _____
 9. Number of Tender Document Sold _____
 10. Number of Bid Received _____
 11. Number of Bidders present at the time of Opening of Bid _____
 12. Name and Address of the successful bidder _____
 13. Date of contract signing / bid signing _____
 14. Contract award price _____
 15. Method of procurement used _____
 - a. Single stage – one envelope
 - b. Single stage – two envelope
 - c. Two stage bidding procedure
 - d. Two stage – Two envelop
 16. Approving authority for award of contract
 - a. Name _____
 - b. Designation _____
 17. It is certified that following Documents are attached
 - a. Bid Evaluation Report.
 - b. Technical Committee Evaluation Report.
 - c. Qualification Criteria with Executive Summary.
 - d. Contract documents.

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| 1. | Advertisement: | Yes | No |
| | i) PPRA Website (Federal Agencies) (If yes, give date and PPRA's tender number) | | |
| | ii) News Papers (If yes, give names of newspapers and dates) | Yes | No |
| 2. | Nature of contract | Local | Int. |
| 3. | Officer responsible to distribute tender form, name and designation. Name _____ Designation _____ | | |
| 4. | Number of forms distributed. _____ (in words) | | |
| 5. | Whether qualification criteria were included in bidding / tender documents (if yes enclose a copy, If No, give reasons and attach). | Yes | No |
| 6. | Whether bid evaluation criteria were included in bidding / tender documents (if yes enclose a copy, If No, give reasons and attach). | Yes | No |
| 7. | Whether approval of competent authority was obtained for using a method other than open competitive bidding? | Yes | No |
| 8. | Was bid security (earnest money) not exceeding five percent of the bid price obtained from all the bidders? | Yes | No |
| 9. | Whether the successful bidder was lowest evaluated bidder? | Yes | No |
| 10. | Whether the successful bidder was technically qualified? | Yes | No |
| 11. | Whether integrity pact was signed (if yes enclose a copy)? | Yes | No |
| 12. | Whether names of the bidders and their quoted prices were read out at the time of opening of bids? | Yes | No |
| 13. | Whether copy of evaluation report given to all bidders? | Yes | No |
| 14. | Was any negotiation done in violation of applicable rules? | Yes | No |
| 15. | Was procurement conducted in a fair and transparent manner and the object of procurement will bring value for money? | Yes | No |
| 16. | Whether all Communications and documentation related to procurements were either in Urdu or English or both except where procuring agency is situated outside the territories of Pakistan? | Yes | No |

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| 17. | Whether procurement / tendering proceeded without any splitting or regrouping of the procurement? | Yes | No |
| 18. | Whether the annual requirements were advertised in advance on website? | Yes | No |
| 19. | Whether the specifications given were allowing the widest possible competition and did not favor any single contractor or supplier not put others at a disadvantage? | Yes | No |
| 20. | Whether the specifications were generic and did not include references to brand names, model numbers, catalogue numbers or similar classifications? | Yes | No |
| 21. | The response time was not less than fifteen days (for national competition Bid) and thirty days (for international competition Biding)? | Yes | No |
| 22. | In pre-Qualification following factors have been considered (a) Relevant experience and past performance. (b) Capability with respect to personnel, equipment and plant (c) Financial position. (d) Appropriate managerial capability | Yes | No |
| 23. | Whether the set of pre qualification documents was provided to all interested suppliers / contractors and only the cost of printing was charged for these documents? | Yes | No |
| 24. | Whether only the suppliers who were pre qualified were permitted to participate further in the procurement proceedings? | Yes | No |
| 25. | Whether the reasons for not pre qualifying have been communicated to all those suppliers or contractors who have not been pre qualified? | Yes | No |
| 26. | Whether unambiguous and precise bidding documents were formulated which were made available to the bidders? | Yes | No |
| 27. | Whether the bid is subject to a bid validity period? | Yes | No |
| 28. | Whether the date for opening of bids and the last date for the submission of bids were the same? | Yes | No |
| 29. | Whether all bids were opened publicly in the presence of the bidders or their representatives. Minutes of the bid opening were also recorded? | Yes | No |
| 30. | Whether bid submitted after the time prescribed were rejected without being opened? | Yes | No |

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| 31. | Whether an appropriate evaluation criteria was formulated against which the bid was evaluated? | Yes | No |
| 32. | Whether all the bids were evaluated in accordance with the evaluation criteria? | Yes | No |
| 33. | Whether no bidder was allowed to alter or modify his bid after the bid have been opened? | Yes | No |
| 34. | Whether no verbal request for clarification in the bid, made by the procuring agency was entertained. Except in writing? | Yes | No |
| 35. | Whether no such conditions was introduced which would discriminate between the bidders? | Yes | No |
| 36. | Whether in case of re bidding, the reasons for rejection have been assessed and specifications, evaluation criteria have been revised? | Yes | No |
| 37. | Whether bid evaluation report was announced at least ten days prior to the award of procurement contract? | Yes | No |
| 38. | Whether no negotiations with the bidders were made, provided that the extent of negotiation permissible shall be subject to the regulations issued by the authority? | Yes | No |
| 39. | Whether all information regarding the bid evaluation was kept confidential until the time of announcement of the evaluation report? | Yes | No |
| 40. | Whether a committee comprising of odd number of persons with proper powers and authorization was constituted to address the complaints of bidders prior to the entry into force of the procurement contract? | Yes | No |
| 41. | Was it assured by the procuring agency that the selected firm is not blacklisted? | Yes | No |
| 42. | Were proper safeguards provided on mobilization advance payment in the contract (bank guarantee etc)? | Yes | No |

Dated: _____

Signature & Official Stamp of
Authorized Officer _____